

The use of total funds channelled through “la Caixa” to Gavi programmes in 2020

Final Report to the “la Caixa” Foundation
(for Gavi)

April 2021



Executive summary

The “la Caixa” Foundation and GAVI partnership is aimed at protecting the lives of the world’s most disadvantaged children, with a specific focus on providing life-saving protection against pneumonia by funding pneumococcal vaccine.

Thanks to the generosity of the “la Caixa” Foundation, “la Caixa” employees, the Business Alliance for Child Vaccinations, and microdonations from the Spanish public, The Bill and Melinda Gates Foundation have matched your funds to thus double the impact.

In 2020 this partnership has channelled USD 7,405,428 to Gavi and contributed funds to vaccinate an estimated 722,830 children with pneumococcal vaccine in Mozambique, sponsoring 100% of disbursements to Pneumo-Mozambique for the year.

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Overview of use of “la Caixa” funds in 2020

2020 Contributions and Impact

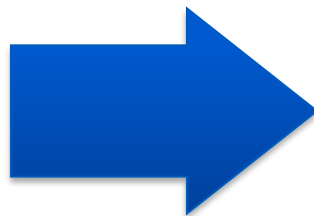
“la Caixa” 2020 Contributions:

- “la Caixa” Foundation:
 - EUR 1,546,355
 - USD 1,827,687
- Business Alliance:
 - EUR 1,416,261
 - USD 1,718,124
- Employees & Microdonations:
 - EUR 130,095
 - USD 156,903

Total:

- **EUR 3,092,711**
- **USD 3,702,714****

The Gavi Matching Fund doubled your contribution with **USD 3,702,714**** from the Bill & Melinda Gates Foundation



Projected Impact:

- **Approximately 2,479,377 doses* of pneumococcal vaccine were purchased**
- **Approximately 722,830 children immunized*****

Note: All impact calculations are estimates.

*total includes possible indicative number of doses purchased with funds from the Gavi Matching Fund

**All Gavi Matching Fund contributions are recorded in US dollars

*** This number acknowledges a 10% wastage

Detailed breakdown of “la Caixa” funds for pneumococcal vaccines and impact

Use of funds and impact in Mozambique				Total “la Caixa”
	“la Caixa” Foundation	Employees & Microdonations	Business Alliance	Investment amount
Funds (USD)	EUR 1,546,355** USD 1,827,687	EUR 130,095** USD 156,903	EUR 1,416,261** USD 1,718,124	EUR 3,092,711** USD 3,702,714
Doses purchased*	611,919*	52,532*	575,237*	1,239,688*
Children immunised	178,397	15,315	167,703	361,415
Funds (%)	50	4.2	45.8	100%

*These numbers include the 10% wastage. Total includes possible indicative number of doses purchased with La Caixa Funds (only)

** These figures are reported by la Caixa and not validated by Gavi.

Note: All impact calculations are estimates. Adjustments from the preliminary report, as well as an increase in funds disbursed vs. funds received, reflect currency adjustments, interest earned, and fluctuations in the global weighted average cost of vaccines.

Detailed breakdown of funds matched by the Bill & Melinda Gates Foundation (Gavi Matching Fund)

Year	Funding Source	Country	Vaccine	Funds Matched (%)	Funds Matched (USD)	Doses Purchased	Children Immunized
2020	Total “la Caixa” Investment	Mozambique	PCV	100%	USD 3,702,714	1,239,688*	361,415
2020	“la Caixa Foundation”	Mozambique	PCV	100%	USD 1,827,687	611,919*	178,397
2020	Employees & Microdonations	Mozambique	PCV	100%	USD 156,903	52,532*	15,315
2020	Business Alliance	Mozambique	PCV	100%	USD 1,718,124	575,237*	167,703

*These numbers include the 10% wastage

Note: These numbers include the 10% wastage. Total includes possible indicative number of doses with La Caixa Funds (only). These figures are reported by la Caixa and not validated by Gavi.

Use of “la Caixa” Foundation funds corresponding to the contributions



FINANCIAL STATEMENT ON FUNDS UTILIZATION LA CAIXA FUNDS - 2020 CONTRIBUTION

Details of contributions received, funds allocated to Gavi programmes, and the balance of contribution

Table 1: 2020 Contributions received as of 31 March 2021

Description or reference	Date of contributions received by Gavi	Amount of contribution received in US\$
2020 Funding from La Caixa Foundation (Equivalent to EUR 1,000,000)	21 October 2020	1,169,000
2020 Funding from Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	23 December 2020	1,586,479
2020 Funding from Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	28 December 2020	145,428
2020 Funding from Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	27 January 2021	658,686
2020 Funding from Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	28 January 2021	131,645
2020 Funding from Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	08 February 2021	11,475
Total 2020 contributions received as of 31 March 2021		3,702,714

Use of “la Caixa” Foundation funds corresponding to the contributions

Table 2: Funds allocated to Gavi programmes as of 31 March 2021

Programme description	Implementing country	Number of doses of vaccines	Date of fund allocations	Amount of funds allocated in US\$
Pneumococcal Programmes (CTN 2021-427)	Mozambique	1,220,600	02 December 2020	1,785,620
Total funds allocated to Gavi programmes as of 31 March 2021		1,220,600		1,785,620

Table 3: Balance of contribution as of 31 March 2021

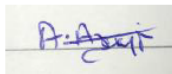
Description	Amount in US\$
Total 2020 contributions received, per Table 1 above	3,702,714
Total funds allocated to Gavi programmes, per Table 2 above	(1,785,620)
Interest Earned	14,565
Balance of 2019 contribution brought forward from 31 March 2020	1,261,704
Balance of 2020 contribution as of 31 March 2021	3,193,363

Note 1

Notes:

1. This represents funding still to be spent on relevant Gavi programmes.

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Date:

22-Apr-20

The total contributions for 2020 are EUR 3,092,711

Business Alliance: EUR 1,416,261*

885 Companies – EUR 1,189,993

1,098 Private Banking donations – EUR 226,267

Employees & Microdonations: EUR 130,095*

626 Employees – EUR 40,106

49,337 Microdonations – EUR 89,988

“la Caixa” Foundation: EUR 1,546,355*

Banking Foundation – EUR 1,000,000

“la Caixa” Foundation Matching Fund – EUR 546,355

**Rounded up figures*

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Background information on pneumonia and Mozambique

The challenge: pneumonia and pneumococcal vaccine

Streptococcus pneumoniae, commonly known as pneumococcal disease, is the leading cause of pneumonia – the world's number one killer of children under five years of age.

According to UNICEF*, Pneumonia kills more children than any other infectious disease, claiming the lives of over 800,000 children under five every year, or around 2,200 every day. This includes over 153,000 newborns. Progress in reducing deaths due to pneumonia in children under five has been significantly slower than for other infectious diseases. Since 2000, under-five deaths due to pneumonia have declined by 54 per cent, while deaths due to diarrhoea have decreased by 64 per cent and are now almost half of pneumonia deaths.

The most effective way to prevent these deaths is to ensure that all children have access to safe, affordable vaccines***.

To accelerate the development and production of a new generation of pneumococcal vaccines, more targeted at the needs of developing countries and more affordable, GAVI, the World Bank and donors launched the pneumococcal Advance Market Commitment (AMC) in 2009.

GAVI countries rolling out pneumococcal vaccines will potentially avert more than 600,000 future deaths in the 2016 to 2020**.

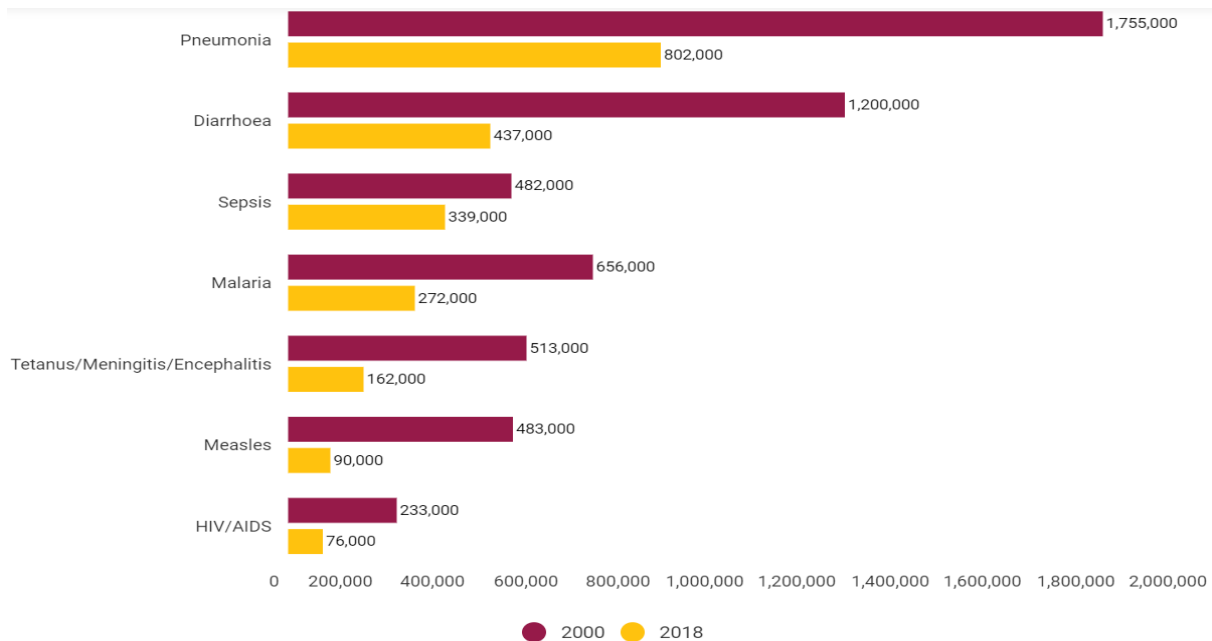
*UNICEF Data, November 2019: <https://data.unicef.org/topic/child-health/pneumonia/>

** Gavi prospectus: The 2016-2020 Gavi, the Vaccine Alliance Investment Opportunity, May 2014

***not all pneumonia deaths are vaccine preventable (PCV averted only vaccine preventable deaths)

The challenge: pneumonia and pneumococcal vaccine

Deaths of children under five by infectious disease, 2000 vs 2018



Source: UNICEF analysis based on WHO and Maternal and Child Epidemiology Estimation Group interim estimates produced in September 2019, applying cause of deaths for the year 2017 to United Nations Inter-agency Group for Child Mortality Estimation estimates for the year 2018.

Country Profile: Mozambique

Mozambique



Total population (2019)	31,156,700
Birth cohort (2019)	1,161,076
Surviving Infants (surviving to 1 year per year, 2019)	1,073,337
Infant mortality rate (deaths < 1 year per 1000 births, 2015)	57/1000
Child mortality rate (deaths < 5 years per 1000 births, 2015)	79/1000
World Bank Index, IDA (2015)	3.48
Gross Nation Income (per capita US\$, 2015)	590
No. of districts/territories (2018)	161

Country Profile: Mozambique – coverage

M:F sex ratio at birth (2019)	1.03
Household survey: DTP3 coverage for male (2010)	76.20%
Household survey: DTP3 coverage for female (2010)	76.10%
Household survey: Last DTP3 survey (2010)	76%
% districts achieving > 80% DTP3 coverage (2018)	96%
% districts achieving < 50% DTP3 coverage (2018)	0%
DTP3 - WHO/UNICEF estimates (2019)	88%
DTP3 - Official country estimates (2019)	95%
MCV1 - WHO/UNICEF estimates (2019)	87%
MCV2 - WHO/UNICEF estimates (2019)	85%
PCV3 - WHO/UNICEF estimates (2019)	80%
PCV3 - Official country estimates (2019)	95%

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Gavi Matching Fund Report

Use of the Bill & Melinda Gates Foundation Matching Fund funds corresponding to the contributions channeled through “la Caixa” in 2020

Use of Bill & Melinda Gates Foundation Matching Fund funds corresponding to the contributions channeled through “la Caixa” in 2020



FINANCIAL STATEMENT ON FUNDS UTILIZATION

GATES MATCHING FUNDS FOR LA CAIXA - 2020 CONTRIBUTION

Details of contributions matched, funds allocated to Gavi programmes, and the balance of contributions matched

Table 1: 2020 Contributions matched by the Gates Matching Fund as of 31 March 2021

Description or reference	Amount of contribution matched in US\$
Gates Matching Funds for 2020 Funding from La Caixa Foundation	1,169,000
Gates Matching Funds for 2020 Funding from La Caixa Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	1,731,907
Gates Matching Funds for 2020 Funding from La Caixa Business Alliance for Childhood Vaccination, Employees' Donations and/or Public Microdonations Campaign	801,806
Total 2020 contributions matched by the Gates Matching Fund as of 31 March 2021	3,702,714

Use of Bill & Melinda Gates Foundation Matching Fund funds corresponding to the contributions channeled through “la Caixa” in 2020

Table 2: Funds allocated to Gavi programmes as of 31 March 2021

Programme description	Implementing country	Date of fund allocations	Amount of funds allocated in US\$	
Pneumococcal Programmes (CTN 2020-665)	Mozambique	14 August 2020	(640)	Note 1
Pneumococcal Programmes (CTN 2019-788)	Mozambique	29 October 2020	(2,506)	Note 1
Pneumococcal Programmes (CTN 2021-427)	Mozambique	02 December 2020	1,785,620	
Pneumococcal Programmes (CTN 2020-557)	Mozambique	01 January 2021	12,000	
Total funds allocated to Gavi programmes as of 31 March 2021			1,794,474	

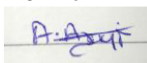
Table 3: Balance of contributions matched as of 31 March 2021

Description	Amount in US\$	
Total 2020 contributions matched by the Gates Matching Fund, per Table 1 above	3,702,714	
Total funds allocated to Gavi programmes, per Table 2 above	(1,794,474)	
Balance of 2019 contribution brought forward from 31 March 2020	1,261,705	
Balance of contributions matched as of 31 March 2021	3,169,944	Note 2

Notes:

1. These negative amounts represent a return of funds from GAVI's procurement agent, UNICEF, due to actual costs (e.g., of freight, vaccine, devices) being lower than originally estimated in CTNs. Returned funds are subsequently re-spent on other programmes.
2. This represents funding still to be spent on relevant Gavi programmes.

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THANK YOU!



Annex 1 - Delays in demand for Pneumococcal vaccines in Mozambique

2020 saw lower dose utilization in 2020, as compared to previous years.

- This was partly caused by the COVID pandemic and its impact on health systems. During the 3 months lock down between April and June 2020, the population was afraid to go to Health Facilities and health care workers wanted to avoid infection and where therefore limiting contacts as much as possible with population.
- It is worth noting that in Q2 2020, the Ministry of Health instructed provinces to stop conducting outreach sessions through which all vaccines (and other health interventions) are provided, and which contribute to around 10% of the total number of immunised children in the country.
- Gavi supported the EPI to develop a recovery plan, funded with ongoing HSS grant, but the plan didn't suffice to catch up with missed children (administrative and official estimates of coverage rates of 2020 are expected to be published in July).
- The additional reason is that in 2020, prior to the start of the pandemic, the country notified Gavi that they had an excess of doses. Mozambique switched from PCV 10 to PCV 13 over 2018-2019; they first used the existing stock of PCV10 in country (to avoid wastage) before introducing PCV13 in routine immunisation. Therefore in 2020 the number of approved doses has been reduced (0.5M doses) and optimized again in 2021 (2.1M doses) as per country's needs.